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PATENT APPLICATION  
DOCKET NO. 2685/5744

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Allen L. GORIN, et al.

Application No: 09/712,194

Filed: November 15, 2000

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

For: **METHOD AND SYSTEM FOR PREDICTING PROBLEMATIC DIALOG SITUATIONS IN  
A TASK CLASSIFICATION SYSTEM**

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APR 09 2003

Technology Center 2600

THIRD INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The paragraphs marked below are applicable.

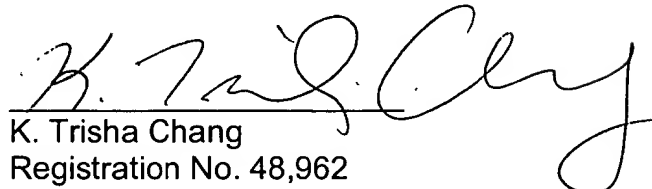
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Respectfully submitted,

KENYON & KENYON

Date: April 8, 2003

  
K. Trisha Chang  
Registration No. 48,962



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

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Of

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**Complete if Known**

Application Number

09/712,194

Filing Date

November 15, 2000

First Named Inventor

Allen L. GORIN et al.

Group Art Unit

To Be Determined

Examiner Name

To Be Determined

Attorney Docket Number

2685/5744

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|------------------------|--------------------------|--|--------------------------------|--|---|
|                        |                          | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
|                        |                          | US-4,777,600                               | 10-11-98                       | SAITO et al.                                       | <b>RECEIVED</b><br><br>APR 09 2003<br><br>Technology Center 2600                |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|------------------------|--------------------------|---|-----------------------------------|---|--|----------------|
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| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |
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